

THE PREPARATION OF 1-BENZOYL-6,7-DIMETHOXY-5-KETO-1,2,2a,3,4,5-HEXAHYDROBENZ[cd]INDOLE

IOANNIS K. STAMOS¹

The National Hellenic Research Foundation, Athens 501/1, Greece

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Abstract—The synthesis of 1-benzoyl-6,7-dimethoxy-5-keto-1,2,2a,3,4,5-hexahydrobenz[cd]indole (**10**) is described. Reduction of the pyrrole ring of 3-(5',6'-dimethoxy-3'-indolyl)propanoic acid (**6**) with borane pyridine complex and a proton source, followed by N-benzoylation furnished the corresponding indoline derivative 3-(1'-benzoyl-5',6'-dimethoxy-3'-indolyl)propanoic acid (**7**). This acid was cyclized with PPA to the target tricyclic ketone (**10**).

It has been reported that certain 12-hydroxyergoline derivatives are highly active antiserotonin agents both *in vitro* and *in vivo*,² and such compounds have been prepared by the oxidation of the corresponding ergoline derivatives with potassium nitrosodisulfonate.³ At least one such a derivative has been identified as an exceedingly active metabolite of methergoline,⁴ and such hydroxylated intermediates have been proposed as relevant metabolic transformations of ergometrine and lysergic acid diethylamide.⁵

An hydroxylated metabolite of Lergotrile has also been isolated and characterized as the 13-hydroxy-lergotrile, and reported to be about 100 times more active than the parent compound in inhibiting the release of prolactin from the anterior pituitary *in vitro*.⁶ Lergotrile itself is an anti-Parkinson agent⁷ and the tricyclic amine 4-(di-n-propyl)amino-1,3,4,5-tetrahydrobenz[cd]indole inhibited prolactin secretion and dopamine binding, and it has been prepared from the tricyclic ketone 1-benzoyl-5-keto-1,2,2a,3,4,5-hexahydrobenz[cd]indole (**12**) in several steps.⁸ This tricyclic ketone has also served as the key intermediate for the total synthesis of lysergic acid⁹ as well as the synthesis of some ergot alkaloids with interesting pharmacological properties.¹⁰

Thus, we have pursued the synthesis of OMe derivatives of **12** and herein we describe the preparation of one of them.

The indole ring system of **3** was formed by hydrogenation-hydrogenolysis of the ethyl 4-cyano-4-(4',5'-dimethoxy-2'-nitrophenyl)butyrate (**2**), which was in turn obtained as a pale yellow syrup from the 4,5-dimethoxy-2-nitrophenylaceto-nitrile (**1**) and ethyl acrylate in the presence of a base.¹¹ Along with **3**, the aniline **4** and the hitherto underscribed benzazepine **5** were obtained as minor byproducts. The interesting compound **5** was easily identified by spectroscopic and elemental analysis and by comparison of its spectra with those of the 6-membered homologue.¹² The IR spectrum of **5** shows the typical nitrile absorption at 2240 cm⁻¹ and a CO lactam band at 1670 cm⁻¹, an expected shift to lower frequencies as compared to the 6-membered homologue (1685 cm⁻¹). Also the pattern of the NH three characteristic bands at 3320, 3200 and 3100 cm⁻¹ is identical with those at 3360, 3240 and 3130 cm⁻¹ of the 6-membered homologue.¹²

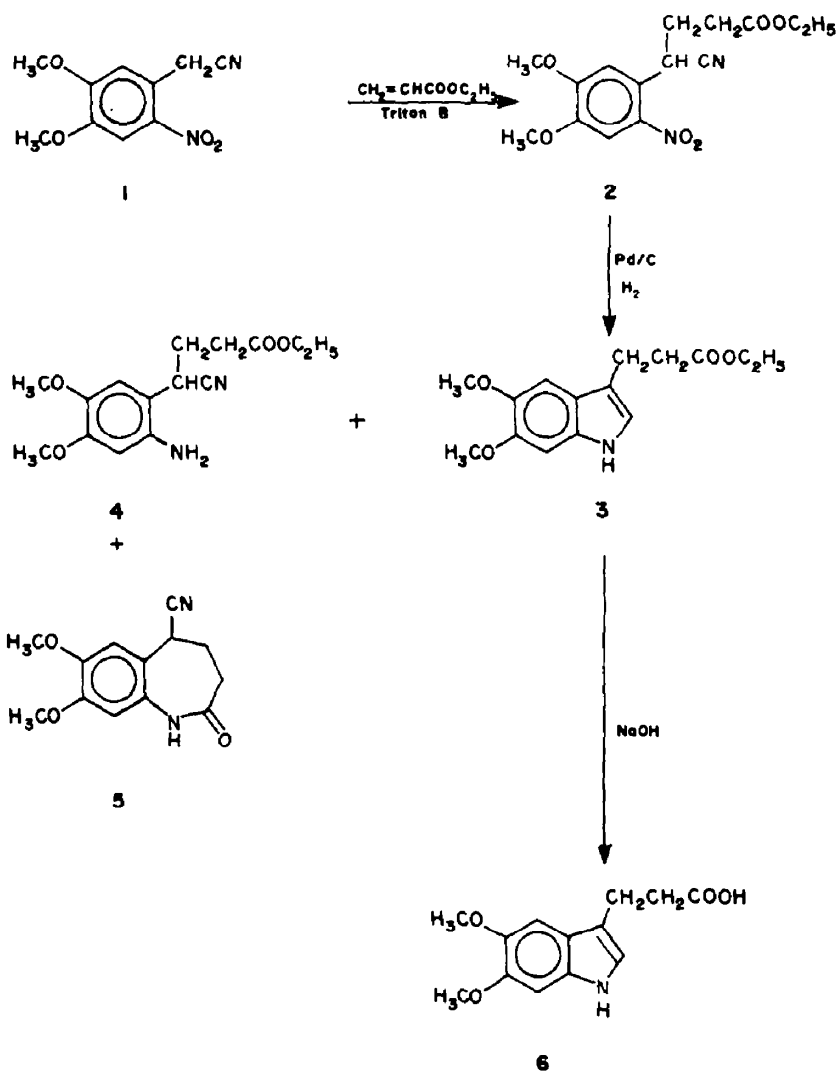
Straightforward hydrolysis of the ester (**3**) with NaOH in H₂O-dioxane gave the acid **6** in good yield as white

crystals of m.p. 138–9° as compared to the reported¹¹ 124–6°. Its structure was verified by spectroscopic data and elemental analysis.

For the reduction of the pyrrole ring of **6** the reagent borane-pyridine complex in the presence of dilute HCl was found to be convenient to us over the alternative catalytic hydrogenation under high pressure. The indolyl acid formed was subsequently benzoylated in the same pot with benzoyl chloride according to Schotten-Baumann method. The produced indolyl acid (**7**) which is soluble in hot water was contaminated with considerable amount of benzoic acid. An attempted steam distillation could not remove the benzoic acid sufficiently. The two acids were separated by controlling the pH of their alkaline solution. The IR and NMR spectra are consistent with the expected structure **7** with only a discrepancy in the shape of the signals of the two OMe groups.¹³

When the acid **7** was treated with SOCl₂¹⁴ under inert atmosphere and then with SnCl₄, the expected target ketone (**10**) was not formed. Instead, the tricyclic and unstable¹⁵ ketone (**9**) was produced in 50% yield. This result suggests that dehydrogenation of the indolyl acid (**7**) took place, probably during its treatment with SOCl₂, forming the pyrrole double bond which undergoes nucleophilic attack under the Friedel-Crafts conditions. In order to verify this assumption, the indolyl acid (**8**) was prepared by dehydrogenation of indolyl acid (**7**) with MnO₂, and was subsequently subjected to the same reaction conditions as above with SOCl₂ and SnCl₄. Again this time the ketone (**9**) was produced in the same yield as above. It is noteworthy that in the intramolecular cyclization reactions mentioned above we were not able to isolate any product resulting from the attack of the acylium cation on the 4-position either of **7** or **8**. It could be assumed that such products were formed in minor amounts if at all, in spite of the enhanced electron density on 4-position (adjacent OMe's) as compared to the 2-position (adjacent benzoyl). Such failures are known in the literature^{16,17} and it could be argued here that stereochemical strain could play a significant role for the formation of the cyclic carbonium ion intermediates over the electronic preference. Similar results have been observed in the benzofuran ring system in which intramolecular cyclization on the 4-position did not occur when the furan double bond was present.¹⁷

Finally, the partially saturated benzindole derivative (**7**) was readily cyclized to the desired ketone (**10**) by



treatment with polyphosphoric acid. As expected in such cyclizations involving carbonium ion next to an OMe group, partial demethylation took place at the 5-position to form the ketone 11 as a byproduct.

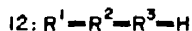
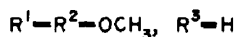
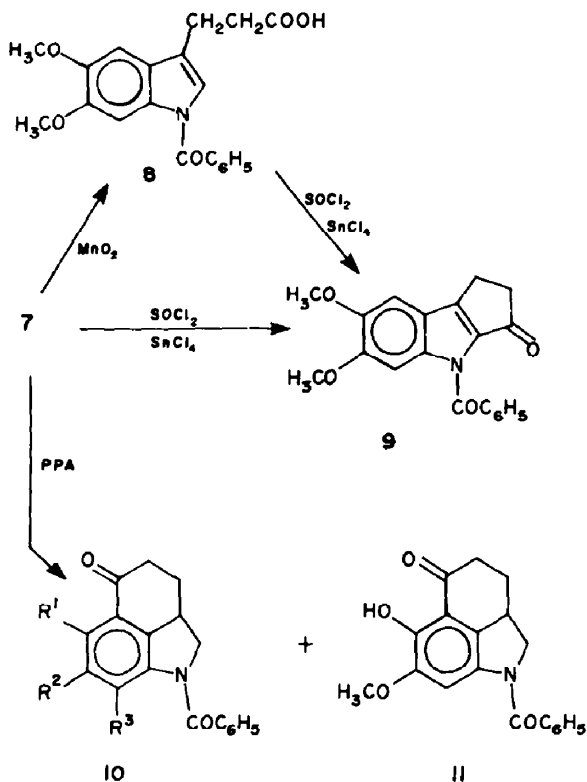
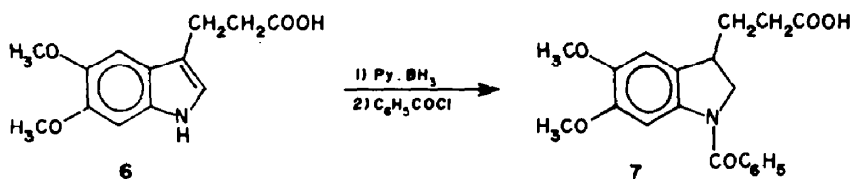
The two ketones thereby obtained were separated by alumina chromatography and characterized by their spectroscopic data and elemental analysis. The region of their NMR spectrum due to their alicyclic hydrogens is compatible with the region of the NMR spectrum due to the alicyclic hydrogens of an authentic sample of the ketone 12. Ketone 10 showed the absorption of its CO group at 1680 cm^{-1} in the IR spectrum, whereas the CO absorption of the ketone 11 has shifted to 1640 cm^{-1} due to its strong intramolecular H-bonding. Likewise, the HO-band of 11 is absent in the IR spectrum, whereas, it shows up in its oxime derivative at 3440 cm^{-1} along with the N-OH band at 3220 cm^{-1} .

EXPERIMENTAL

M.p.s were taken on a Buchi m.p. apparatus and are uncorrected. IR spectra were recorded on a Beckman IR-33 spectrophotometer. PMR were recorded on a Varian 60A or EM-360A 60 MHz spectrometer and except where noted, in CDCl₃

solvent. Chemical shifts are reported in ppm relative to TMS as internal standard.

Ethyl 4-cyano-4-(4',5'-dimethoxy-2'-nitrophenyl)butyrate (2). To a soln of **1**² (12.8 g, 58 mmol) in 200 ml abs EtOH and 20 ml dioxane was added 13 g (130 mmol) ethyl acrylate and 5 ml Triton B (40% in MeOH). The dark red soln was stirred for 2.5 hr in a water bath 80–85° and then its volume was reduced to about half using water pump and a water bath at 40–50°. The soln was cooled, neutralized with dil HCl, diluted with benzene-EtOAc (500 ml, 1:1), the organic layer was washed well with water, dried (Na₂SO₄) and the solvent evaporated on a rotary evaporator in a water bath at 40–50°. The dark residual oil was chromatographed on Florisil using petroleum ether (40–60°), petroleum ether-benzene (1:1), and then benzene. A deep red semisolid material (0.7 g) was eluted with benzene containing EtOAc. The fractions of petroleum ether-benzene and benzene were combined, evaporated on a rotary evaporator and the residual yellow oil was subjected to high vacuum. At 45°/0.4 mm Hg a colorless liquid was distilled off. The residual syrup was chromatographed on Florisil using benzene to give the product as a pale yellow syrup (17 g, 91%). It distills at 220°/0.3 mmHg with darkening. IR (film) $\nu=2240(\text{CN}), 1730(\text{CO})\text{ cm}^{-1}$. Proton NMR δ 1.25(t, 3H, C-CH₃), 2.5(m, 4H, CH₂CH₂), 3.98(s, 3H, OCH₃), 4.05(s, 3H, OCH₃), 4.15(q, 2H, OCH₂), 5.0(t, 1H, >CH-CN), 7.20(s, 1H, aromatic), and 7.75 (s, 1H, aromatic). Anal. Calc. for C₁₅H₁₈N₂O₆: C, 55.90; H, 5.59; N, 8.69. Found: C, 55.81; H, 5.65; N, 8.82%.



Ethyl 3-(5',6'-dimethoxy-3'-indolyl)propanoate (3). A soln of 2 (11.27 g, 35 mmol) in 200 ml reagent grade EtOAc containing 4 g 10% Pd/C was shaken under H_2 at 43–46 lb and about 80° for 3 hr. The mixture was allowed to cool before taking it out the hydrogenator, filtered, the catalyst was washed with benzene and the combined soln was diluted further with benzene and extracted exhaustively with 10% HCl. The extracts were saved. The organic layer was dried (Na_2SO_4), concentrated and chromatographed on Florisil (benzene containing EtOAc). Evaporation of the solvent gave 3 as a syrup which crystallized from ether-petroleum ether (40–60°) to give white fluffy crystals of m.p. 85–6°, (7.6 g, 78%). IR (KBr) 3360(NH) and 1770 cm^{-1} (C=O). Proton NMR $\delta=1.23$ (t, 3H, C- CH_3), a multiplet centered at δ 2.9(4H, CH_2CH_2), 3.83(s, 3H, OCH_3), 3.92(s, 3H, OCH_3), 4.18(q, 2H, OCH_2), 6.82(s, 1H, aromatic), 6.9(s, 1H, pyrrole ring hydrogen), 7.05(s, 1H, aromatic) and 8.25(s, 1H, NH). (Found: C, 64.91; H, 6.93; N, 5.01. Calc. for $C_{15}H_{19}NO_4$: C, 64.98; H, 6.86; N, 5.05%).

5-Cyano-7,8-dimethoxy-2-oxo-2,3,4,5-tetrahydro-1H-1-benzazepin (5). Elution of the above column with $CHCl_3$ and concentration of the fraction gave white crystals of m.p. 191–2°, (0.52 g, 6%). IR (KBr) 3320, 3200, 3100(NH), 2240(C≡N) and 1670 cm^{-1} . Proton NMR $\delta=2.43$ (m, 4H, CH_2CH_2), 3.90(s, 3H, OCH_3), 3.95(s, 3H, OCH_3), 4.15(t, 1H, >CH-CN), 6.70(s, 1H, aromatic) 7.12(s, 1H, aromatic) and 9.12(s, 1H, NH). (Found: C,

63.55; H, 5.81; N, 11.26. Calc. for $C_{13}H_{14}N_2O_3$: C, 63.41; H, 5.69; N, 11.38%).

Ethyl 4-cyano-4-(2'-amino-4',5'-dimethoxyphenyl)butyrate (4). The above dark red aqueous extracts were neutralized with Na_2CO_3 aq under cooling and in the presence of benzene-EtOAc. The organic layer was dried (Na_2SO_4) concentrated and chromatographed on Florisil (benzene containing ether or EtOAc). The red syrup obtained after evaporation of the solvent was chromatographed on silica gel using ether. Evaporation of the solvent immediately gave a pale tan colored syrup which acquires a red color after prolong exposure to air (0.92 g, 9%). IR spectrum (film) gave three bands at 3460, 3380 and 3280 cm^{-1} ; the shape of these three NH stretching bands are similar with those of aniline; 2240(C≡N), and 1730 cm^{-1} (C=O). Proton NMR $\delta=1.25$ (t, 3H, C- CH_3), 2.20(m, 2H, >C- CH_2), 2.58(t,

2H, CH_2CO_2), 2.80(s, 6H, OCH_3), 3.92(m, 3H, NH_2), >CH-CN), 4.25(q, 2H, OCH_2), 6.37(s, 1H, aromatic), and 6.85(s, 1H, aromatic). (Found: C, 61.42; H, 6.97; N, 9.68. Calc. for $C_{15}H_{20}N_2O_4$: C, 61.64; H, 6.85; N, 9.59%).

3-(5',6'-Dimethoxy-3'-indolyl)propanoic acid (6). Into a soln of 24 g NaOH in 600 ml water and 150 ml dioxane was dissolved 30.47 g (0.11 mol) of 3 and the soln was gently refluxed for 2 hr. It was cooled in an ice bath, diluted with water, and

acidified slowly to about pH 4 with dil HCl. The white ppt was filtered, washed with water and air dried to give 24 g (87.6%) of the acid. A small portion of this product was dissolved in MeOH-CHCl₃, filtered through short column of silica gel and the solvents were rotary evaporated. The residue was dissolved in CHCl₃ and the product was crystallized out as a white solid by the addition of a little petroleum ether to give the analytical sample for spectroscopic data, m.p. 138–9° (lit.¹¹ m.p. 124–6°). IR (KBr) 3520(OH), 3360(NH) and 1710 cm⁻¹ (C=O). PMR (CDCl₃-DMSO-d₆) a multiplet centered at δ 2.78(4H, -CH₂CH₂-), δ = 3.78(s, 3H, -OCH₃), 3.80(s, 3H, -OCH₃), 6.92(s, 1H, aromatic), 6.96(s, 1H, pyrrole ring hydrogen), 7.03(s, 1H, aromatic), 10.53(s, 1H, NH). (Found: C, 62.81; H, 6.03, N, 5.42. Calc. for C₁₃H₁₃NO₄: C, 62.65; H, 6.02; N, 5.62%).

3-(1'-Benzoyl-5',6'-dimethoxy-3'-indolyl)propanoic acid. (7). Into a soln of 6 (21 g, 84 mmol) in 350 ml MeOH, cooled with ice-water bath was added 35 ml borane-pyridine complex and then dropwise with stirring 60 ml 12.5% HCl over a period of 25 min. The mixture was stirred for 4 hr and then for about 20–30 min with the ice-water bath removed. The progress of the reaction was monitored by the disappearance of the starting material (Tlc on silica gel/EtOAc). The reaction solution was cooled again with an ice-water bath and basified slowly with NaOH pellets. The MeOH was rotary evaporated (40°), the residue was diluted with 350 ml water and extracted with 150 ml benzene. The aqueous layer was treated with 32 ml benzoyl chloride (Schotten-Baumann method), adding it in portions under stirring and occasional cooling, and keeping the soln alkaline with NaOH aq. After about 1 hr stirring, the mixture was acidified under cooling to pH6, extracted with CHCl₃, organic layer was dried (Na₂SO₄), filtered and evaporated. The syrupy residue was dissolved in K₂CO₃ aq, washed with CHCl₃ and the aqueous basic soln was neutralized with acidic acid to pH7 (litmus paper) in the presence of equal volume of CHCl₃ under cooling and vigorous stirring for about 1 hr. The CHCl₃ layer was separated and the remaining aqueous one was acidified to pH6 with dil HCl aq in the presence of equal volume of CHCl₃ under vigorous stirring for about 1 hr. The CHCl₃ layer was evaporated, the residue was dissolved in K₂CO₃ aq and worked up as above at pH=7. The above two CHCl₃ layers were combined, dried (Na₂SO₄), filtered and evaporated. The syrupy green residue was filtered through silica gel using EtOAc to remove the green impurities. Evaporation of the solvent gave 27 g (89%) of the product as a white solid with a greenish tinge, which still contained small amount of benzoic acid. An analytical sample was recrystallized from EtOAc-benzene to give white solid of m.p. 168–70°. IR(KBr): ν =1730 (acid C=O), and 1610 cm⁻¹ (amide C=O). ¹H NMR: δ = 1.78–2.62(m, 4H, CH₂CH₂), 3.17–4.43(m, 9H, CH₂CH₂N, 2CH₃O), 6.82(s, 1H, aromatic), 7.55(s, 6H, aromatic), 10.65(s, 1H, COOH). (Found: C, 67.70; H, 6.09; N, 3.70. Calc. for C₂₀H₂₁NO₅: C, 67.60; H, 5.91; N, 3.94%).

3-(1'-Benzoyl-5',6'-dimethoxy-3'-indolyl)propanoic acid (8). A suspension of MnO₂¹⁸ (6 g, 69 mmol) in 100 ml CHCl₃ and 7 (2 g; 5.7 mmol) was stirred at room temp for 48 hr. It was then filtered through Celite, the MnO₂ was washed with CHCl₃ and the combined solvent was evaporated. The residue was dissolved in K₂CO₃ aq, filtered, acidified and extracted with CHCl₃. The organic layer was dried (Na₂SO₄), filtered through little silica gel and evaporated. The residue was recrystallized from benzene to give the product as an off-white solid, m.p. 172–3°, 1.3 g (65%). IR (KBr): ν =1710 (acid C=O), and 1680 cm⁻¹ (amide C=O); ¹H NMR δ =2.80(m, 4H, CH₂CH₂), 3.90(s, 6H, 2CH₃O), 6.96(s, 2H, overlapping aromatic-pyrrolic), 7.60(broad pseudosinglet, 5H, C₆H₅CO) 8.02 (s, 1H, aromatic), 10.56 (s, 1H, COOH). (Found: C, 67.89; H, 5.40; N, 4.10. Calc. for C₂₀H₁₉NO₅: C, 67.99; H, 5.38; N, 3.96%).

4-Benzoyl-6,7-dimethoxy-1,4-dihydrocyclopent[b]indole-3(2H)-one, (9). SOCl₂ (2 ml) was added into a soln of 8 (1.3 g, 3.66 mmol) in 15 ml CHCl₃ and the solution stirred for 1 hr at room temp under N₂ and for 25 min in a water bath at 60°. The excess SOCl₂ and the solvent were evaporated under reduced pressure (water pump) in a water bath at 40°. Into the viscous brown residue was added 30 ml 1,2-dichloroethane and then 2 ml SnCl₄ and the soln was stirred for 4 hr at rt under N₂. It was then hydrolysed with HCl in ice-water and extracted with CHCl₃.

The organic layer was dried (Na₂SO₄), concentrated with a rotary evaporator at a lukewarm water bath, and chromatographed on alumina (CHCl₃-1% EtOH). The yellow fraction containing the product was concentrated with a rotary evaporator at a lukewarm water bath, and the product was crystallized out by adding a little petroleum ether (40–60°) to give the product as a yellow solid, m.p. 197–207°, 0.6 (48%). It was dissolved in CHCl₃ without heating,¹⁵ filtered through silica gel and concentrated again as above, m.p. 206–10° (dec). IR (KBr): ν =1690 (ketone C=O), and 1620 cm⁻¹ (amide C=O). ¹H NMR δ =2.90(m, 4H, CH₂CH₂), 3.95(s, 6H, 2CH₃O), 7.07(s, 1H, aromatic), 7.60(m, 5H, C₆H₅CO) and 7.82(s, 1H, aromatic). (Found: C, 71.83; H, 4.90; N, 4.31. Calc. for C₂₀H₁₇NO₄: C, 71.64; H, 5.07; N, 4.18%).

1-Benzoyl-6,7-dimethoxy-5-keto-1,2,2a,3,4,5-hexahydrobenz[cd]indole (10). A mixture of 7 (3.55 g, 10 mmol) and polyphosphoric acid (400 g) was heated for 1 hr at 85° on a hot plate under vigorous stirring. The orange-red mixture was then decomposed with ice (500 g). Into this mixture was added 500 ml CHCl₃ and treated with solid K₂CO₃ to pH 5 under cooling in an ice-water bath and diluting it with additional ice-water as necessary. The CHCl₃ layer was separated and the aqueous one was extracted with 200 ml CHCl₃. The combined organic layer was dried (Na₂SO₄), filtered and evaporated with a rotary evaporator at lukewarm temp. The residual oil was chromatographed on alumina (CHCl₃) or CH₂Cl₂ to give a waxy product (2 g, 59%) which was recrystallized from ether containing a few drops of CH₂Cl₂ and diluting the soln with a little petroleum ether (40–60°). Crystallization was induced by scratching the inside of the Erlenmeyer flask containing the soln with a glass rod to give 10 as pale yellow crystals, m.p. 120–2°, 1.7 g (50%). IR (KBr): ν =1680 (ketone C=O), and 1640 cm⁻¹ (amide C=O). Proton NMR δ =1.60–2.80(m, 4H, CH₂CH₂CO), 3.28–4.00(m, 8H, 2CH₃O and CH₂N), 4.10–4.60(m, 1H, ≡CH), 7.55(bs, 6H, aromatic). (Found: C, 71.25; H, 5.48; N, 4.21. Calc. for C₂₀H₁₉NO₄: C, 71.22; H, 5.64; N, 4.15%). Its oxime: white crystals, m.p. 253–5° (dec).

1-Benzoyl-6-hydroxy-5-keto-7-methoxy-1,2,2a,3,4,5-hexahydrobenz[cd]indole, (11). Elution of the above column with CHCl₃-MeOH (98:2) and evaporation of the solvent gave a yellow solid, 0.4 g (12%). Recrystallization from CH₂Cl₂-pet ether (40–60°) yielded clusters of yellow crystals which melted at 96–8°, solidified and melted again at 144–5°. IR (KBr): ν =1640 (ketone C=O), and 1630 cm⁻¹ (amide C=O). Proton NMR δ =1.60–2.80(m, 4H, CH₂CH₂CO), 3.20–4.00(m, 5H, CH₃O and CH₂N), 4.10–4.50(m, 1H, ≡CH), 7.40(s, 1H, aromatic), 7.58(s, 5H, C₆H₅CO), 10.88(s, 1H, OH). (Found: C, 70.26; H, 5.41; N, 4.26. Calc. for C₁₉H₁₇NO₄: C, 70.59; H, 5.26; N, 4.33%). Its oxime: white crystals, m.p. 236–7° (dec).

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- ¹³In the proton NMR spectra, the signal of the MeO-group on the three indoliny compounds (**7**, **10** and **11**), which is identified as 6-MeO on **7** and 7-MeO on **10** and **11**, appears as a broadened singlet, whereas the signal of the same 6-MeO on the indole ring systems **3**, **6**, **8** and 7-MeO on **9**, appears as a typical intense singlet of equal intensity to the signal of the adjacent MeO-group.
- ¹⁴Commercially supplied SOCl₂ was used directly without any purification.
- ¹⁵In boiling CHCl₃, it was converted to a new yellow compound of m.p. 214-7° and 219-221°. Its proton NMR spectrum showed no alicyclic hydrogens. On long standing, up to a year, it was transformed to a white solid (m.p. 262-4°), which can be separated from intractable yellow solids by column chromatography on alumina (CHCl₃-EtOH, 99:1).
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